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PATENT
21756M-103800US

TOWNSEND and TOWNSEND and CREW LLP

By: Michele Clark

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Confirmation No. 7085

Steven Kirk Donoho

Examiner: Edward Chang

Application No.: 10/686,462

Technology Center/Art Unit: 3691

Filed: October 15, 2003

SUPPLEMENTAL AMENDMENT

For: Method and System for the
Protection of Broker and Investor
Relationships, Accounts and Transactions

Customer No.: 51206

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Commissioner:

In response to the Office Action mailed November 24, 2009, please enter the following
amendments and remarks:

Amendments to the Claims are reflected in the listing of claims which begins on page 2
of this paper.